

# Integrating ADTs in KeY and their Application to History-based Reasoning

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(submitted to FM2021)

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## Part 1: Integrating ADTs in KeY

*We discuss integrating **abstract data types** (ADTs) in the KeY theorem prover by a novel approach to model data types using **Isabelle/HOL** as an interactive back-end, and translate Isabelle **theorems** to user-defined **taclets** in KeY.*

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## Part 2: Application to History-based Reasoning

*As a **case study** of this approach, we reason about Java's `Collection` **interface** using **histories**, and we prove the correctness of several clients that operate on multiple objects, thereby significantly improving the state-of-the-art of history-based reasoning*

# Origin of this work

**1st International HackeYthon** (6–7 December, 2018)

Team **Algebraic Data Types for KeY**:

- ▶ Dominic Steinhöfel (TU Darmstadt)
- ▶ Alexander Knüppel (TU Braunschweig)
- ▶ Asmae Heydari Tabar (TU Darmstadt)
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**Q: What is the difference between?**

- ▶ Algebraic Data Types (ADTs)
- ▶ Abstract Data Types (ADTs)

# Motivation

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- ▶ Model histories using ADTs
- ▶ Verification of the functional properties of clients

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- ▶ Computable functions, also available within Java
- ▶ Only need to use and know Java & JML

Cons:

- ▶ Lifting to specification language (pure functions)
- ▶ Elements live on the heap, referential equality
- ▶ Large verification overhead:  
termination, determinism modulo heap dependency

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- ▶ Possibly inconsistent



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We **import** the theorems of Isabelle as taclets **in KeY**.

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Our approach is:

- ▶ Lazy



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- ▶ Lazy:
  - ▶ only verify theorems in Isabelle when needed
- ▶ Consistent, if:
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  - ▶ the translation is sound

# Example

Isabelle/HOL:

**datatype**  $\alpha$  *option* = *None* | *Some*( $\alpha$ )

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Import instantiated signature (.key file):

```
\sorts      { option; }  
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            option None; ... }
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Import instantiated signature (.key file):

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\functions { option Some (java.lang.Object);  
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```

Use `\dl_Some` and `\dl_None` in specification language (JML):

```
//@ requires \dl_Some(x) = o;  
...
```

## Example

(KeY) We are stuck at a proof obligation:

...,  $\text{Some}(x) = o, \quad o = \text{Some}(y)$

$\implies$

$x = y$



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$$\begin{aligned} & \dots, \quad \text{Some}(x) = o, \quad o = \text{Some}(y) \\ & \implies \\ & x = y \end{aligned}$$

(Isabelle) Prove the theorem:

**lemma** *Some\_injective* ::  $\text{Some}(a) = \text{Some}(b) \implies a = b$

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$$\dots, \text{Some}(x) = o, \quad o = \text{Some}(y) \\ \implies \\ x = y$$

(Isabelle) Prove the theorem:

**lemma** *Some\_injective* ::  $\text{Some}(a) = \text{Some}(b) \implies a = b$

Import Isabelle theorem as KeY taclet:

```
\axioms {  
  Some_injective {  
    \schemaVar \term java.lang.Object o1, o2;  
    \find(Some(o1) = Some(o2))  
    \replacewith(o1 = o2)  
  };  
}
```

## Part 2: Application to History-based Reasoning

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**But now we can use ADTs!**

## Example program

```
Object add_remove(Collection x, Object y) {  
    if (x.add(y)) {  
        x.remove(y);  
    }  
    return y;  
}
```

**Q: What does this method do?**

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## Example contract

```
/*@ ...  
  @ ensures (\forall forall Object o1;  
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Moral: the contents of the collection remains the same.

# What improvement?

Previous approach:

- ▶ Use Java objects to encode histories
- ▶ Use Java methods to define functions
- ▶ Verify pure functions (totality, determinacy, dependency)
- ▶ Total verification effort: est. **75 minutes**



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New approach (using ADTs!):

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- ▶ Lazy approach to proving/importing theorems
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What can we do with the gain?

**Verify more advanced clients!**

## Example program

```
boolean iterate_only(Collection x) {  
    Iterator it = x.iterator();  
    /*@ ...  
       @ decreasing \dl_size(it.owner().history()) -  
          \dl_iteratorSize(it.owner().history(),it); @*/  
    while (it.hasNext()) {  
        it.next();  
    }  
    return true;  
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- ▶ `\dl_size`: sum of all multiplicities

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- ▶ `it.owner()`: all events recorded in *owning* collection
- ▶ `\dl_size`: sum of all multiplicities
- ▶ `\dl_iteratorSize`: sum of all multiplicities of iterator

# What improvement?

Previous approach:

- ▶ Verify pure functions (totality, determinacy, dependency):
  - ▶ size
  - ▶ iteratorSize
  - ▶ isIteratorValid
  - ▶ iteratorLast
  - ▶ iteratorHasNext
  - ▶ iteratorVisited
- ▶ Total verification effort: unknown. More than **8 hours?**

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New approach (using ADTs!):

- ▶ Define functions in Isabelle/HOL
- ▶ Total verification effort: **automatic**



# Isabelle functions

Function *iterSize*:

```
fun iterSize: History  $\rightarrow$  Iterator  $\rightarrow$  int where  
iterSize ( $\epsilon$ ) z = 0  
iterSize (iterator() $\mapsto$ y :: h) z = (y = z ? 0 : iterSize h z)  
iterSize (y.next() $\mapsto$ x :: h) z = iterSize h z + (y = z ? 1 : 0)  
iterSize (y.remove() :: h) z = (y = z ? iterSize h z - 1 : 0)  
iterSize (e :: h) z = (modify e ? 0 : iterSize h z)
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iterSize (e :: h) z = (modify e ? 0 : iterSize h z)
```

and *iterHasNext*:

```
fun iterHasNext: History  $\rightarrow$  Iterator  $\rightarrow$  bool where  
iterHasNext h z = (iterSize h z < size h)
```

# Imported theorem

Isabelle lemma:

**lemma** *HasNext\_size:*

*isValid h*  $\implies$

*isIteratorValid h z*  $\implies$

$\neg$ *iteratorHasNext h z*  $\implies$

*size h = iteratorSize h z*

# Imported theorem

Isabelle lemma:

**lemma** *HasNext\_size*:

*isValid*  $h \implies$

*isIteratorValid*  $h\ z \implies$

$\neg$ *iteratorHasNext*  $h\ z \implies$

*size*  $h = \text{iteratorSize } h\ z$

Imported taclet:

```
HasNext_size {  
  \schemaVar \term history h;  
  \schemaVar \term Iterator it;  
  \assumes (isValid(h) = TRUE ==>)  
  \assumes (isIteratorValid(h, it) = TRUE ==>)  
  \find(iteratorHasNext(h, it) = FALSE)  
  \replacewith(size(h) = iteratorSize(h, it))  
};
```

## Example program

```
boolean compare(Collection x, Collection y) {  
    Iterator it = x.iterator();  
    /*@ ...  
        ...  
        ...  
        ...  
        ... @*/  
    while (it.hasNext()) {  
        if (!y.remove(it.next())) { return false; }  
        else { it.remove(); }  
    }  
    return y.isEmpty();  
}
```

## Example program

```
boolean compare(Collection x, Collection y) {
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           \dl_multiset(y.history(),o1)); @*/
    while (it.hasNext()) {
        if (!y.remove(it.next())) { return false; }
        else { it.remove(); }
    }
    return y.isEmpty();
}
```

## Example program

```
boolean compare(Collection x, Collection y) {
  Iterator it = x.iterator();
  /*@ ...
   @ loop_invariant (\forall Object o1;
     \dl_multiset(\old(x.history()),o1) ==
     \dl_multiset(\old(y.history()),o1) <==>
     \dl_multiset(x.history(),o1) ==
     \dl_multiset(y.history(),o1)); @*/
  while (it.hasNext()) {
    if (!y.remove(it.next())) { return false; }
    else { it.remove(); }
  }
  return y.isEmpty();
}
```

New approach, total verification effort: **75 minutes**

# Concluding



# Summary

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  - ▶ Advanced clients: are two collections equal?

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Paper submitted to FM2021

Open Science: video and source material available

# Future work

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  - ▶ Problem: histories of objects not called may not remain the same
- ▶ General history-based refinement theory
  - ▶ Formally verify that a class implements an interface
  - ▶ `LinkedList` `:=` `AbstractSequentialList` `:=`  
`AbstractList` `:=` `AbstractCollection`